

ANNEXURE -I

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

As on Financial Year ended 31st March, 2021

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

| | | |
|----|---|--|
| 1. | CIN | L74900DL1993PLC056491 |
| 2. | Registration Date | 16/12/1993 |
| 3. | Name of the Company | USS GLOBAL LIMITED (Formerly known as Surnidhi Investment Limited) |
| 4. | Category/Subcategory of the Company | PUBLIC COMPANY LIMITED BY SHARES/ INDIAN NON- GOVERNMENT COMPANY |
| 5. | Address of the Registered Office & Contact Details | *OFFICE NO. 400, ITL TWIN TOWERS, B- 9, NETAJI SUBHASH PLACE, PITAM PURA, NEW DELHI - 110034 Ph: 011-45824477, Email: surnidhiinvestmentltd@gmail.com Website: www.ussglobaltd.com |
| 6. | Whether Listed Company | YES |
| 7. | Name, Address & Contact details of the Registrar & Transfer Agent, if any. | ALANKIT ASSIGNMENTS LIMITED Address: ALANKIT HOUSE, 4E/2, JHANDEWALAN EXTENSION, NEW DELHI-110055 Tel No: 011-42541234, 011-23541234 Fax No.: 011- 23552001 , 011-42541201 Email ID: info@alankit.com Website: www.alankit.com |

*Note : The Registered Office of the Company has been shifted from 1502, 15TH FLOOR, RG TRADE TOWER, NETAJI SUBHASH PLACE, PITAM PURA, NEW DELHI - 110034 to its new address at **OFFICE NO. 400, ITL TWIN TOWERS, B- 9, NETAJI SUBHASH PLACE, PITAM PURA, NEW DELHI - 110034**

| | | | | | | | | | |
|--|------------------|------------|------------------|----------------|------------------|------------|------------------|----------------|------------|
| Total Shareholding of Promoter (A) = (A)(1)+ (A)(2) | 33,58,900 | Nil | 33,58,900 | 67.178% | 33,58,900 | Nil | 33,58,900 | 67.178% | Nil |
| B. Public Shareholding | | | | | | | | | |
| 1. Institutions | | | | | | | | | |
| a) Mutual Funds | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| b) Banks / FI | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| c) Central Govt. | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| d) State Govt.(s) | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| e) Venture Capital Funds | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| f) Insurance Companies | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| g) FIIs /FPIs | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| h) Foreign Venture Capital Funds/ Institutional Investors | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| i) Others (specify) | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| Sub-total (B)(1):- | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |

| | | | | | | | | | |
|---|------------------|------------------|------------------|----------------|------------------|------------------|------------------|----------------|------------|
| 2. Non Institutions | | | | | | | | | |
| a) Bodies Corporate | | | | | | | | | |
| i) Indian | Nil | 4,500 | 4,500 | 0.090% | Nil | 4,500 | 4,500 | 0.090% | Nil |
| ii) Overseas | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| b) Individuals | | | | | | | | | |
| i) Individual shareholders holding nominal share capital up to Rs. 1 lakh | Nil | 2,11,200 | 2,11,200 | 4.224% | Nil | 2,11,200 | 2,11,200 | 4.224% | Nil |
| ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh | Nil | 14,25,400 | 14,25,400 | 28.508% | Nil | 14,25,400 | 14,25,400 | 28.508% | Nil |
| Others (specify) | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| Sub-total (B)(2):- | Nil | 16,41,100 | 16,41,100 | 32.822% | Nil | 16,41,100 | 16,41,100 | 32.822% | Nil |
| Total Public Shareholding (B)=(B)(1)+ (B)(2) | Nil | 16,41,100 | 16,41,100 | 32.822% | Nil | 16,41,100 | 16,41,100 | 32.822% | Nil |
| C. Shares held by Custodian for GDRs & ADRs | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| Grand Total (A+B+C) | 33,58,900 | 16,41,100 | 50,00,000 | 100% | 33,58,900 | 16,41,100 | 50,00,000 | 100% | Nil |

B. Shareholding of Promoters:

| S.No. | Shareholder's Name | Shareholding at the beginning of the Financial Year(as on 1 st April, 2020) | | | Shareholding at the End of the Financial Year (as on 31 st March, 2021) | | | %Change in Shareholding during the year |
|-------|--------------------|--|----------------------------------|---|--|----------------------------------|---|---|
| | | No. of Shares | % of total Shares of the Company | % of Shares Pledged/ Encumbered to total Shares | No. of Shares | % of total Shares of the Company | % of Shares Pledged/ Encumbered to total Shares | |
| 1. | Mohit Gupta | 33,58,900 | 67.178% | - | 33,58,900 | 67.178% | - | Nil |
| | Total | 33,58,900 | 67.178% | - | 33,58,900 | 67.178% | - | Nil |

C. Change in Promoter's Shareholding (Please specify, if there is no change):

| S.No. | Shareholders Name | Shareholding at The beginning of the Financial Year (as on 01/04/2020) | | Increase(+) Decrease(-) In Shareholding | | | | Cumulative Shareholding during the year (01/04/2020 to 31/03/2021) | |
|-------|-------------------|--|----------------------------------|---|---------------|----------------------------------|--------|--|----------------------------------|
| | | No. of Shares | % of total Shares of the Company | Date | No. of Shares | % of total Shares of the Company | Reason | No. of Shares | % of total Shares of the Company |
| 1 | Mohit Gupta | 33,58,900 | 67.178% | - | - | - | - | 33,58,900 | 67.178% |

Note

There are no Changes in the Shareholding of Promoters during the Financial Year 2020-21

D. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

| S.No. | Shareholder's Name | Shareholding at The beginning of the Financial Year 01/04/2020 | | Increase(+) Decrease(-) In Shareholding | | | | Cumulative Shareholding during the year (01/04/2020 to 31/03/2021) | |
|-------|------------------------|--|----------------------------------|---|---------------|----------------------------------|--------|--|----------------------------------|
| | | No. of Shares | % of total Shares of the Company | Date | No. of Shares | % of total Shares of the Company | Reason | No. of Shares | % of total Shares of the Company |
| 1. | Surendra Kumar Agarwal | 1,00,000 | 2.00% | - | - | - | - | 1,00,000 | 2.00% |
| 2. | Amit | 1,00,000 | 2.00% | - | - | - | - | 1,00,000 | 2.00% |
| 3. | Sita Ram | 1,00,000 | 2.00% | - | - | - | - | 1,00,000 | 2.00% |
| 4. | Mehndra Kumar | 1,00,000 | 2.00% | - | - | - | - | 1,00,000 | 2.00% |
| 5. | Vinod Kumar Gupta | 97,700 | 1.95% | - | - | - | - | 97,700 | 1.95% |
| 6. | Vaibhav Gupta | 97,400 | 1.94% | - | - | - | - | 97,400 | 1.94% |
| 7. | Ayush Agarwal | 95,800 | 1.92% | - | - | - | - | 95,800 | 1.92% |
| 8. | Naresh Agarwal | 95,500 | 1.91% | - | - | - | - | 95,500 | 1.91% |
| 9. | Nathu Lal | 95,200 | 1.90% | - | - | - | - | 95,200 | 1.90% |
| 10. | Pramod Gupta | 92,700 | 1.85% | - | - | - | - | 92,700 | 1.85% |

E. Shareholding of Directors and Key Managerial Personnel:

| S.No. | Director's/ KMP Name | Shareholding at The beginning of the Financial Year 01/04/2020 | | Increase(+) Decrease(-) In Shareholding | | | | Cumulative Shareholding during the year (01/04/2020 to 31/03/2021) | |
|---------------------------------|---|--|----------------------------------|---|---------------|----------------------------------|--------|--|----------------------------------|
| | | No. of Shares | % of total Shares of the Company | Date | No. of Shares | % of total Shares of the Company | Reason | No. of Shares | % of total Shares of the Company |
| DIRECTORS | | | | | | | | | |
| 1. | Atul Tandon (DIN: 03073791) | 0 | 0.00% | - | - | - | - | 0 | 0.00% |
| 2. | Ruchir Jain (DIN: 03151017) | 0 | 0.00% | - | - | - | - | 0 | 0.00% |
| 3. | Sanjay Kumar Sharma (DIN: 03154904) | 0 | 0.00% | - | - | - | - | 0 | 0.00% |
| 4. | Naina Talwar (DIN: 07680338) | 0 | 0.00% | - | - | - | - | 0 | 0.00% |
| KEY MANAGERIAL PERSONNEL | | | | | | | | | |
| 1. | Mohit Gupta Managing Director (DIN: 02366798) | 33,58,900 | 67.178% | - | - | - | - | 33,58,900 | 67.178% |
| 2. | Poonam Company Secretary & Compliance Officer PAN: BYTPP8594C | 0 | 0.00% | - | - | - | - | 0 | 0.00% |
| 3. | Sanjay Sharma Chief Financial Officer PAN: EZVPS9064J | 0 | 0.00% | - | - | - | - | 0 | 0.00% |

V. INDEBTEDNESS

The Company has not Borrowed any amount from any Person or Body Corporate during the Financial Year and is a debt free Company.

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

| S. No. | Particulars of Remuneration | Name of MD/WTD/Manager | Total Amount |
|--------|---|---|-------------------|
| | | Mohit Gupta (Managing Director) (DIN: 02366798) | |
| 1. | Gross salary | ₹ 6,60,000 | ₹ 6,60,000 |
| | (a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961 | ₹ 3,60,000 | ₹ 3,60,000 |
| | (b) Value of perquisites u/s 17(2) of Income-Tax Act, 1961 | ₹ 3,00,000 | ₹ 3,00,000 |
| | (c) Profits in lieu of salary under section 17(3) of Income-Tax Act, 1961 | Nil | Nil |
| 2. | Stock Option | Nil | Nil |
| 3. | Sweat Equity | Nil | Nil |
| 4. | Commission - as % of profit - others, specify | Nil - - | Nil - - |
| 5. | Others, please specify | Nil | Nil |
| | Total (A) | ₹ 6,60,000 | ₹ 6,60,000 |
| | Ceiling as per the Companies Act, 2013 | Remuneration paid to the Managing Director is within the ceiling Limit as prescribed under the Companies Act, 2013. | |

B. Remuneration to other Directors:

| S. No. | Particulars of Remuneration | Name of Directors | Total Amount |
|--------|--|-------------------|---------------|
| | | | |
| | <p>Independent Directors</p> <ul style="list-style-type: none"> ● Fee for attending Board / Committee Meetings ● Commission ● Others, please specify | | -----NIL----- |
| | Total (1) | | |
| | <p>Other Non-Executive Directors</p> <ul style="list-style-type: none"> ● Fee for attending Board / Committee Meetings ● Commission ● Others, please specify | | -----NIL----- |
| | Total (2) | | |
| | Total (B)=(1+2) | | |
| | Total Managerial Remuneration | | |
| | Overall Ceiling as per the Companies Act, 2013 | | |

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:

:

| S. No. | Particulars of Remuneration | Key Managerial Personnel | | | |
|--------|---|--------------------------|---|---------------------------------------|-------------|
| | | CEO | Company Secretary | Chief Financial Officer | Total |
| | | - | Ms. Poonam (FCS: 10994) PAN: BYTPP8594C | Mr. Sanjay Sharma PAN : EZVPS9064J | |
| 1. | Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961 | - | ₹ 5,64,000 | ₹ 5,40,000 | ₹11,04,000 |
| | (b) Value of perquisites u/s 17(2) of Income-Tax Act, 1961 | - | - | - | - |
| | (c) Profits in lieu of salary under section 17(3) of Income-Tax Act, 1961 | - | - | - | - |
| 2. | Stock Option | - | - | - | - |
| 3. | Sweat Equity | - | - | - | - |
| 4. | Commission - As % of profit - others, specify | - - - | - - - | - - - | - - - |
| 5. | Others, please specify | - | - | - | - |
| | Total | - | ₹ 5,64,000 | ₹ 5,40,000 | ₹11,04,000 |
| | | | | | |

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

| Type | Section of the Companies Act,2013 | Brief Description | Details of Penalty/Punishment/ Compounding fees imposed | Authority [RD / NCLT/ COURT] | Appeal made, if any (give details) |
|-------------------------------------|-----------------------------------|-------------------|---|------------------------------|------------------------------------|
| A. COMPANY | | | | | |
| Penalty | -----NIL----- | | | | |
| Punishment | | | | | |
| Compounding | | | | | |
| B.DIRECTORS | | | | | |
| Penalty | -----NIL----- | | | | |
| Punishment | | | | | |
| Compounding | | | | | |
| C. OTHER OFFICERS IN DEFAULT | | | | | |
| Penalty | -----NIL----- | | | | |
| Punishment | | | | | |
| Compounding | | | | | |