

USS GLOBAL LIMITED

(Formerly known as SURNIDHI INVESTMENT LIMITED)

Regd office : 1502, 15th Floor, RG Trade Tower, Netaji Subhash Place, Pitam Pura, New Delhi – 110034

Phone: 011- 45824477, website: www.ussglobaltd.com

E-mail: surnidhiinvestmentltd@gmail.com

CIN: L74900DL1993PLC056491

ANNEXURE –I

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

As on Financial Year ended 31st March, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the
Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

1.	CIN	L74900DL1993PLC056491
2.	Registration Date	16/12/1993
3.	Name of the Company	USS GLOBAL LIMITED (Formerly known as Surnidhi Investment Limited)
4.	Category/Subcategory of the Company	PUBLIC COMPANY LIMITED BY SHARES/ INDIAN NON- GOVERNMENT COMPANY
5.	Address of the Registered Office & Contact Details	1502, 15 th FLOOR, RG TRADE TOWER, NETAJI SUBHASH PLACE, PITAM PURA, NEW DELHI - 110034 Ph: 011-45824477, Email: surnidhiinvestmentltd@gmail.com Website: www.ussglobaltd.com
6.	Whether Listed Company	YES
7.	Name, Address & Contact details of the Registrar & Transfer Agent, if any.	ALANKIT ASSIGNMENTS LIMITED Address: Alankit House, 4E/2, Jhandewalan Extension, New Delhi-110055 Tel No: 011-42541234, 011-23541234 Fax No.: 011-23552001, 011-42541201 Email ID: info@alankit.com Website: www.alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

S. No.	Name and Description of main Products / Services	NIC Code of the Product/ Service	% to total turnover of the Company
1.	Interest Income	649- Other Credit Granting	74.73%
2.	Consultancy Services	702- Management Consultancy Activities	7.07%

III. PARTICULARS OF HOLDING, SUBSIDIARY, JOINT VENTURE AND ASSOCIATE COMPANIES :

S. NO.	NAME & ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/SUBSIDIARY/ ASSOCIATE COMPANY	% OF SHARES HELD	APPLICABLE SECTION
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The Company does not have any Holding, Subsidiary, Joint Venture and Associate Company(ies)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as a percentage of Total Equity)

A. Category-wise Share Holding:

[illegible]

Category of Shareholders	No. of Shares held at the beginning of the Financial Year (as on 1 st April, 2019)				No. of Shares held at the end of the Financial Year (as on 31 st March, 2020)				% Change during the year
	Demat	Physical	Total	% of total Shares	Demat	Physical	Total	% of total Shares	
2. Non Institutions									
a) Bodies Corporate									
i) Indian	Nil	4,500	4,500	0.090%	Nil	4,500	4,500	0.090%	Nil
ii) Overseas	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	Nil	2,11,200	2,11,200	4.224%	Nil	2,11,200	2,11,200	4.224%	Nil
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	Nil	14,25,400	14,25,400	28.508%	Nil	14,25,400	14,25,400	28.508%	Nil
Others (specify)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub-total (B)(2)	Nil	16,41,100	16,41,100	32.822%	Nil	16,41,100	16,41,100	32.822%	Nil
Total Public Shareholding (B)=(B)(1)+(B)(2)	Nil	16,41,100	16,41,100	32.822%	Nil	16,41,100	16,41,100	32.822%	Nil
C. Shares held by Custodian for GDRs & ADRs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Grand Total (A+B+C)	32,60,200	17,39,800	50,00,000	100%	33,58,900	16,41,100	50,00,000	100%	Nil

B. Shareholding of Promoters:

S.No.	Shareholder's Name	Shareholding at the beginning of the Financial Year (as on 1 st April, 2019)			Shareholding at the End of the Financial Year (as on 31 st March, 2020)			
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/ Encumbered to total Shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged/ Encumbered to total Shares	% Change in the Shareholding during the year
1.	Mohit Gupta	33,58,900	67.178%	—	33,58,900	67.178%	—	Nil
	TOTAL	33,58,900	67.178%	—	33,58,900	67.178%	—	Nil

C. Change in Promoters' Shareholding (Please specify, if there is no change)

S.No.	Shareholders Name	Shareholding at the beginning of the Financial Year (as on 1 st April, 2019)		Increase(+) Decrease(-) In Shareholding				Cumulative Shareholding during the year (01/04/2019 to 31/03/2020)	
		No. of Shares	% of Total Shares of the Company	Date	No. of Shares	% of Total Shares of the Company	Reason	No. of Shares	% of Total Shares of the Company
1	Mohit Gupta	33,58,900	67.178%	—	—	—	—	33,58,900	67.178%

Note

There are no Changes in the Shareholding of Promoters during the Financial Year 2019-20

D. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

S.No.	Shareholder's Name	Shareholding at the beginning of the Financial Year 1 st April,2019		Increase(+) Decrease(-) In Shareholding				Cumulative Shareholding during the year (01/04/2019 to 31/03/2020)	
		No. of Shares	% of total Shares of the Company	Date	No. of Shares	% of Total Shares of the Company	Reason	No. of Shares	% of Total Shares of the Company
1.	Surendra Kumar Agarwal	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
2.	Amit	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
3.	Sita Ram	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
4.	Mehandra Kumar	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
5.	Vinod Kumar Gupta	97,700	1.95%	—	—	—	—	97,700	1.95%
6.	Vaibhav Gupta	97,400	1.94%	—	—	—	—	97,400	1.94%
7.	Ayush Agarwal	95,800	1.92%	—	—	—	—	95,800	1.92%
8.	Naresh Agarwal	95,500	1.91%	—	—	—	—	95,500	1.91%
9.	Nathu Lal	95,200	1.90%	—	—	—	—	95,200	1.90%
10.	Pramod Gupta	92,700	1.85%	—	—	—	—	92,700	1.85%

E. Shareholding of Directors and Key Managerial Personnel:

S.No.	Director's / KMP Name	Shareholding at the beginning of the Financial Year 01/04/2019		Increase(+) Decrease(-) In Shareholding				Cumulative Shareholding during the year (01/04/2019 to 31/03/2020)	
		No. of Shares	% of total Shares of the Company	Date	No. of Shares	% of Total Shares of the Company	Reason	No. of Shares	% of Total Shares of the Company
DIRECTORS									
1.	Atul Tandon (DIN: 03073791)	0	0.00%	—	—	—	—	0	0.00%
2.	Ruchir Jain (DIN: 03151017)	0	0.00%	—	—	—	—	0	0.00%
3.	Sanjay Kumar Sharma (DIN: 03154904)	0	0.00%	—	—	—	—	0	0.00%
4.	Naina Talwar (DIN: 07680338)	0	0.00%	—	—	—	—	0	0.00%
KEY MANAGERIAL PERSONNEL									
1.	Mohit Gupta Managing Director (DIN: 02366798)	33,58,900	67.178%	—	—	—	—	33,58,900	67.178%
2.	Poonam Company Secretary & Compliance Officer PAN: BYTPP8594C	0	0.00%	—	—	—	—	0	0.00%
3.	Sanjay Sharma Chief Financial Officer PAN: EZVPS9064J	0	0.00%	—	—	—	—	0	0.00%

V. INDEBTEDNESS:-

The Company has not availed any Loan during the financial year and is debt free Company.

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount
		Mr. Mohit Gupta (Managing Director) (DIN: 02366798)	
1.	Gross salary	₹ 6,60,000	₹ 6,60,000
	(a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961	₹ 3,60,000	₹ 3,60,000
	(b) Value of perquisites u/s 17(2) of the Income-Tax Act, 1961	₹ 3,00,000	₹ 3,00,000
	(c) Profits in lieu of salary u/s 17(3) of the Income-Tax Act, 1961	Nil	Nil
2.	Stock Option	Nil	Nil
3.	Sweat Equity	Nil	Nil
4.	Commission - as % of profit - others, specify	Nil — —	Nil — —
5.	Others, please specify	Nil	Nil
	Total (A)	₹ 6,60,000	₹ 6,60,000
	Ceiling as per the Companies Act, 2013	Remuneration paid to the Managing Director is within the ceiling Limit as prescribed under the Companies Act, 2013.	

B. Remuneration to other Directors:

S.No.	Particulars of Remuneration	Name of Directors	Total Amount
	Independent Directors <ul style="list-style-type: none"> • Fee for attending Board / Committee Meetings • Commission • Others, please specify 	NIL.....
	Total (1)		
	Other Non-Executive Directors <ul style="list-style-type: none"> • Fee for attending Board/ Committee Meetings • Commission • Others, please specify 	NIL.....
	Total (2)		
	Total (B)=(1+2)		
	Total Managerial Remuneration		
	Overall Ceiling as per the Companies Act, 2013		

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD:

S. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary & Compliance Officer Ms. Poonam (ACS: 34664) PAN : BYTPP8594C	Chief Financial Officer Mr. Sanjay Sharma PAN : EZVPS9064J	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961	—	₹ 5,15,000	₹ 5,05,000	₹ 10,20,000
	(b) Value of perquisites u/s 17(2) of the Income-Tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary u/s 17(3) of the Income-Tax Act, 1961	—	—	—	—
2.	Stock Option	—	—	—	—
3.	Sweat Equity	—	—	—	—
4.	Commission	Nil	Nil	Nil	Nil
	- As % of profit	—	—	—	—
	-others, specify	—	—	—	—
5.	Others, please specify	—	—	—	—
	Total	—	₹ 5,15,000	₹ 5,05,000	₹ 10,20,000

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act, 2013	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty <i>NIL</i>				
Punishment					
Compounding					
B. DIRECTORS					
Penalty <i>NIL</i>				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty <i>NIL</i>				
Punishment					
Compounding					